# RFC: Actual I/O Mode

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Allow a user to determine which type of I/O was performed after the completion of a requested parallel I/O call. This is not necessarily the same as what was requested.

#### 1. Introduction

Collective I/O, which is requested by the user via a data transfer property list (DXPL), can perform I/O according to several optimization schemes. The HDF5 library either chooses one based on a user-adjustable parameter, or a user can request an optimization directly.

These optimization schemes may not perform pure collective I/O. Some schemes analyze each chunk in a dataset individually, and may access some chunks collectively and others individually. Thus some independent I/O may still occur even when a collective operation is requested.

Currently, there is no way to check whether collective or independent I/O was actually performed during a dataset access operation. This RFC proposes extensions to the HDF5 library that allow the user to determine the optimization and I/O mode(s) used by each process in an I/O operation, although not at the level of individual chunks. The extensions will also allow the user to determine what caused the HDF5 library to break collective I/O for the local process and among all processes, if that was the case.

## Description

#### **Description of Optimizations**

As this section of HDF5 is being reworked, some of this discussion may be obsolete. However, while details may change, the general thrust should remain intact.

#### 1) General Parallel I/O Concerns

Before we discuss specific optimizations, we should note that in certain circumstances, collective I/O will not be attempted at all, even if requested, and HDF5 will perform independent I/O collectively instead. The following conditions bring about this switch:

- Datatype conversions need to be performed
- Data transforms need to be performed
- The file is begin accessed with the MPI-POSIX driver
- One of the dataspaces is neither simple nor scalar
- There are point selections in one of the dataspaces
- The dataset's storage is neither contiguous nor chunked
- Any filters need to be applied (in the case of chunked dataset storage)

If all of these checks pass, HDF5 chooses a collective I/O optimization scheme. If the dataset's storage is contiguous, collective I/O proceeds without further consideration and will never switch to independent I/O. However if the dataset's storage is chunked, a user can set an optimization scheme for choosing collective or independent access on the chunks via the

H5Pset\_dxpl\_mpio\_chunk\_opt API call. Refer to the flowchart "Optimizations for Chunk Collective I/O" at the end of this document for the details of this decision process. Also refer to H5Pset\_dxpl\_mpio\_chunk\_opt entry in HDF5 reference manual.

## **Design of Properties**

To track the type of I/O performed, two properties are proposed: actual\_chunk\_opt\_mode, to track the optimization scheme chosen for chunked datasets and actual\_io\_mode, to track whether independent I/O, collective I/O or some mix of both took place during the operation.

Two properties are proposed instead of one composite property because, even though most optimization schemes are limited in what type of I/O they can perform, almost all optimizations have multiple values for the actual I/O mode and most of these modes are shared among several optimizations.

The two properties are described in more detail in the following Reference Manual entries.

## New API Functions RM Entries

## H5Pget\_mpio\_actual\_chunk\_opt\_mode

#### Signature:

herr\_t H5Pget\_mpio\_actual\_chunk\_opt\_mode(hid\_t dxpl\_id, H5D\_mpio\_actual\_chunk\_opt\_mode\_t \* actual\_chunk\_opt\_mode)

#### Purpose:

Retrieves the type of chunk optimization that HDF5 actually performed on the last parallel I/O call.

## Description:

H5Pget\_mpio\_actual\_chunk\_opt\_mode retrieves the type of chunk optimization

performed when collective I/O was requested. This property is set by

H5Pset\_dxpl\_mpio\_chunk\_opt before I/O takes place, and will be set even if I/O fails.

Valid values returned in actual\_chunk\_opt\_mode:

#### H5D\_MPIO\_NO\_CHUNK\_OPTIMIZATION

No chunk optimization was performed. Either no collective I/O was attempted or the dataset wasn't chunked. (*Default*)

#### H5D\_MPIO\_LINK\_CHUNK

Collective I/O is performed on all chunks together.

Corresponds to the H5FD\_MPI0\_CHUNK\_ONE\_I0 mode for

H5Pset\_dxpl\_mpio\_chunk\_opt.

#### H5D\_MPIO\_COLL\_CHUNK\_ATONCE

Each chunk is individually marked with collective or individual based on how many processes are assigned to that chunk. If the fraction is greater than the chunk-ratio threshold, the chunk is marked as collective and collective I/O is performed all at once for all the collective marked chunks. The chunk-ratio threshold can be set

using H5Pset\_dxpl\_mpio\_chunk\_opt\_ratio. The default value is 60%.

Corresponds to the H5FD\_MPI0\_COLL\_CHUNK\_ATONCE\_I0 mode for

H5Pset\_dxpl\_mpio\_chunk\_opt.

#### H5D\_MPIO\_MULTI\_CHUNK

Same as the H5D\_MPI0\_COLL\_CHUNK\_ATONCE case, except that collective I/O is performed per chunk which is marked as collective instead of all at once for all the collective chunks.

Corresponds to the H5FD\_MPI0\_CHUNK\_MULTI\_I0 mode for

H5Pset\_dxpl\_mpio\_chunk\_opt.

#### H5D\_MPIO\_ALL\_CHUNK\_IND

Independent I/O is performed on all chunks.

Corresponds to the H5FD\_MPI0\_ALL\_CHUNK\_IND\_I0 mode for

H5Pset\_dxpl\_mpio\_chunk\_opt.

#### Parameters:

hid\_t dxpl\_id

IN: Dataset transfer property list identifier

H5D\_mpio\_actual\_chunk\_opt\_mode\_t \*actual\_chunk\_opt\_mode OUT: The type of chunk optimization performed by HDF5.

#### Returns:

Returns a non-negative value if successful; otherwise returns a negative value.

## H5Pget\_mpio\_actual\_io\_mode

#### Signature:

herr\_t H5Pget\_mpio\_actual\_io\_mode(hid\_t dxpl\_id, H5D\_mpio\_actual\_io\_mode\_t \* actual\_io\_mode)

#### Purpose:

Retrieves the type of I/O that HDF5 actually performed on the last parallel I/O call. This is not necessarily the type of I/O requested.

#### Motivation:

A user can request collective I/O via a data transfer property list (DXPL) that has been suitably modified with H5Pset\_dxpl\_mpio. However, HDF5 may bypass this request and perform independent I/O instead, if certain conditions are encountered. This property allows the user to see what kind of parallel I/O HDF5 actually performed. Used in conjunction with

H5Pget\_mpio\_actual\_chunk\_opt\_mode, this property allows the user to determine exactly HDF5 did when attempting collective I/O.

#### Description:

H5Pget\_mpio\_actual\_io\_mode retrieves the type of I/O performed on the selection of the current process. This property is set after all I/O is completed; if I/O fails, it will not be set.

Valid values returned in actual\_io\_mode:

```
H5D_MPI0_N0_COLLECTIVE_I0
```

No collective I/O was performed. Collective I/O was not requested or collective I/O isn't possible on this dataset. (Default)

#### H5D\_MPI0\_CHUNK\_INDEPENDENT

HDF5 performed one of the collective chunk optimization schemes and each chunk was accessed independently.

#### H5D\_MPIO\_CHUNK\_COLLECTIVE

HDF5 performed one of the collective chunk optimization schemes and all chunks were accessed collectively.

#### H5D\_MPIO\_CHUNK\_MIXED

HDF5 performed one of the collective chunk optimization schemes and some chunks were accessed independently, some collectively.

#### H5D\_MPI0\_CONTIGUOUS\_COLLECTIVE

Collective I/O was performed on a contiguous dataset.

#### Note:

All processes need not return the same value. For example, if I/O is being performed using the multi chunk optimization scheme, one process's selection may include only chunks accessed collectively,

while another may include only chunks accessed independently and a third may involve both types. In this case, the first process will report H5D\_MPI0\_CHUNK\_COLLECTIVE while the second will report H5D\_MPI0\_CHUNK\_INDEPENDENT and the third H5D\_MPI0\_CHUNK\_MIXED.

#### Parameters:

hid\_t dxpl\_id IN: Dataset transfer property list identifier

H5D\_mpio\_actual\_io\_mode\_t \* actual\_io\_mode OUT: The type of I/O performed by this process.

#### **Returns:**

Returns a non-negative value if successful; otherwise returns a negative value.

#### H5Pget\_mpio\_no\_collective\_cause

#### Signature:

herr\_t H5Pget\_mpio\_no\_collective\_cause(hid\_t dxpl\_id, uint32\_t \* local\_no\_collective\_cause, uint32\_t \* global\_no\_collective\_cause)

#### Purpose:

Retrieves local and global causes that broke collective I/O on the last parallel I/O call.

#### Motivation:

A user can request collective I/O via a data transfer property list (DXPL) that has been suitably modified with  $H5Pset_dxpl_mpio$ . However, there are conditions that can cause HDF5 to forgo collective I/O and perform independent I/O. Such causes can be different across the processes of a parallel application. This function allows the user to determine what caused the HDF5 library to skip collective I/O locally, in the local process, and globally, across all processes.

#### Description:

H5Pget\_mpio\_no\_collective\_cause serves two purposes. It can be used to determine whether collective I/O was used for the last preceding parallel I/O call. If collective I/O was not used, it retrieves the causes that broke collective I/O on that parallel I/O call. The properties retrieved by this function are set before I/O takes place and are retained even when I/O fails.

Valid values returned on the property are as follows; the numbers on the right are bitmask values:

H5D\_MPIO\_COLLECTIVE = 00000000

Collective I/O was performed successfully. (Default)

H5D\_MPIO\_SET\_INDEPENDENT = 00000001

Collective I/O was not performed because independent I/O was requested.

H5D\_MPIO\_DATATYPE\_CONVERSION = 00000010

Collective I/O was not performed because datatype conversions were required.

- H5D\_MPIO\_DATA\_TRANSFORMS = 00000100 Collective I/O was not performed because data transforms needed to be applied.
- H5D\_MPI0\_SET\_MPIPOSIX = 00001000 Collective I/O was not performed because the selected file driver was MPI-POSIX.
- H5D\_MPIO\_NOT\_SIMPLE\_OR\_SCALAR\_DATASPACES = 00010000 Collective I/O was not performed because one of the dataspaces was neither simple nor scalar.

H5D\_MPI0\_POINT\_SELECTIONS = 00100000 Collective I/O was not performed because there were point selections in one of the dataspaces.

H5D\_MPI0\_NOT\_CONTIGUOUS\_OR\_CHUNKED\_DATASET = 01000000 Collective I/O was not performed because the dataset was neither contiguous nor chunked.

```
H5D_MPI0_FILTERS = 10000000
Collective I/O was not performed because filters needed to be applied.
```

The above name/value pairs are members of the H5D\_mpio\_no\_collective\_cause\_t enumeration.

Each process determines whether it can perform collective I/O and broadcasts the result. Those results are combined to make a collective decision; collective I/O will be performed only if all processes can perform collective I/O.

If collective I/O was not used, the causes that prevented it are reported by individual process by means of an enumerated set. The causes may differ among processes, so H5Pget\_mpio\_no\_collective\_cause returns two property values. The first value is the one produced by the local process to report local causes. This local information is encoded in an enumeration, the H5D\_mpio\_no\_collective\_cause\_t described above, with all individual causes combined into a single value by means of a bitwise OR operation. The second value reports global causes; this global value is the result of a bitwise-OR operation across the values from all the processes.

## Parameters:

hid\_t dxpl\_id IN: Dataset transfer property list identifier

## uint32\_t \* local\_no\_collective\_cause

OUT: A enumerated set value indicating the causes that prevented collective I/O in the local process.

uint32\_t \* global\_no\_collective\_cause

OUT: An enumerated set value indicating the causes across all processes that prevented collective I/O.

#### **Returns:**

Returns a non-negative value if successful; otherwise returns a negative value.

#### Notes

In a collective operation, the values available to actual\_io\_mode are dependent on the value of actual\_chunk\_opt\_mode.

The actual\_chunk\_opt\_mode and actual\_io\_mode properties are not strictly paired nor all combinations of the properties are possible.

The possible combinations between the two APIs are:

actual\_chunk\_opt\_mode actual\_io\_mode

H5D\_MPIO\_NO\_CHUNK\_OPTIMIZATION H5D\_MPIO\_NO\_COLLECTIVE H5D\_MPIO\_CONTIGUOUS\_COLLECTIVE

H5D_MPIO_LINK_CHUNK	H5D_MPIO_CHUNK_COLLECTIVE
H5D_MPIO_COLL_CHUNK_ATONCE	H5D_MPIO_NO_COLLECTIVE H5D_MPIO_CHUNK_INDEPENDENT H5D_MPIO_CHUNK_COLLECTIVE H5D_MPIO_CHUNK_MIXED
H5D_MPIO_MULTI_CHUNK	H5D_MPIO_NO_COLLECTIVE H5D_MPIO_CHUNK_INDEPENDENT H5D_MPIO_CHUNK_COLLECTIVE H5D_MPIO_CHUNK_MIXED

H5D\_MPI0\_ALL\_CHUNK\_IND H5D\_MPI0\_CHUNK\_INDEPENDENT

Also, at the present time, there is no way of telling whether a specific chunk was read collectively or independently.

## Usage

If a user is experiencing difficulties with parallel I/O, support personnel could use these properties to

get extra diagnostic information. Additionally, a user could use these functions to ensure that a specific optimization is chosen to prevent unexpected slowdown of parallel applications.

## Example

The following pseudo code illustrates the use of the actual I/O mode properties in determining whether a process performed collective I/O, independent I/O or both in an application with three processes. In this example Process 0 will report collective I/O, Process 1 will report both collective and independent I/O and Process 2 will report independent I/O. This example is contrived, but it isn't too hard to imagine that if the processes' selections were determined by a computation or user input, a similar scenario might arise.

```
H5D mpio actual chunk opt mode t actual chunk opt mode;
H5D_mpio_actual_io_mode_t
                                  actual io mode;
<set up mpi rank and mpi size>
<open file collectively>
<create space>
<create dataset with three chunks>
<create file and memory spaces>
if (mpi rank == 0) {
     <select hyperslab in Chunk 0>
} else if (mpi_rank == 1) {
    <select hyperlab in Chunk 0 and Chunk 1>
} else if (mpi_rank == 2) {
    <select hyperslab in Chunk 2>
}
dxpl = H5Pcreate(H5P_DATASET_XFER);
H5Pset dxpl mpio(dxpl, H5FD MPIO COLLECTIVE);
/* Set chunk optimization mode that can utilize ratio threshold */
H5Pset_dxpl_mpio_chunk_opt(dxpl,H5FD_MPI0_COLL_CHUNK_ATONCE_I0);
/* Set the threshold fraction of processes per chunk for
 * collective I/O. Here, collective I/O will only occur
 * if a process is selected by at least 40% of processes.
 */
H5Pset_dxpl_mpio_chunk_opt_ratio(dxpl, 40);
```

```
H5Dwrite(dataset, data_type, mem_space, file_space, dxpl, buffer);
H5Pget_mpio_actual_io_mode(dxpl, &actual_io_mode);
H5Pget_mpio_actual_chunk_opt_mode(dxpl, &actual_chunk_opt_mode);
/* Check properties against expected values */
assert(actual_chunk_opt_mode == H5D_MPIO_MULTI_CHUNK);
if (mpi_rank == 0) {
    assert(actual_io_mode == H5D_MPIO_CHUNK_COLLECTIVE);
} else if (mpi_rank == 1) {
    assert(actual_io_mode == H5D_MPIO_CHUNK_MIXED);
} else if (mpi_rank == 2) {
    assert(actual_io_mode == H5D_MPIO_CHUNK_INDEPENDENT);
}
```

The next example illustrates the use of the no-collective-cause property in determining why collective I/O was interrupted. In this case, a file is opened using the MPI-POSIX driver and a collective write operation is requested. The returned property value indicates that collective I/O could not be performed because of the MPI-POSIX driver is in use.

H5D mpi no collective cause t local no collective cause; H5D\_mpi\_no\_collective\_cause\_t global no collective cause; <set up mpi rank and mpi size> fapl = H5Pcreate(H5P\_FILE\_ACCESS); H5Pset\_fapl\_mpiposix(fapl, MPI\_COMM\_WORLD, 0); <open file collectively> <create space> <create contiguous dataset> <create file and memory spaces> <hyperslab selection divides dataset equally among processes> dxpl = H5Pcreate(H5P\_DATASET\_XFER); H5Pset\_dxpl\_mpio(dxpl, H5FD\_MPI0\_COLLECTIVE); H5Dwrite(dataset, data\_type, mem\_space, file\_space, dxpl, buffer); H5Pget mpi no collective cause(dxpl, &local no collective cause, &qlobal no collective cause);

```
/* check property against expected value */
assert(local_no_collective_cause == H5D_MPI0_SET_MPIPOSIX);
assert(global_no_collective_cause == H5D_MPI0_SET_MPIPOSIX);
```

#### Recommendation

The HDF5 API extensions proposed in this RFC have been implemented, but the parallel I/O code is changing. Thus the details of this RFC and the associated code will probably need to be revisited.

## **Optimizations and I/O operations Flowchart**

Brief descriptions of the optimization modes for H5Pset\_dxpl\_mpio\_chunk\_opt follow:

Optimization modes	Description
H5FD_MPIO_CHUNK_ONE_IO	
	Do collective I/O all at once for all the selected chunks. This mode will not switch to independent I/O.
H5FD_MPIO_COLL_CHUNK_ATONCE_IO	
	Do collective I/O all at once for all the selected chunks that marked as collective. Do individual I/O for the rest chunks. Thus, this mode will switch between collective and independent I/O.
H5FD_MPIO_CHUNK_MULTI_IO	
	Do collective I/O per chunk for the selected chunks that marked as collective. Do individual I/O for the rest chunks. Thus, this mode will switch between collective and independent I/O.
H5FD_MPIO_ALL_CHUNK_IND_IO	
	Do independent I/O for all the selected chunks. This mode will not switch to collective I/O.

## Flowchart to determine whether collective I/O can be performed or not

## **RFC Revision History**

August 04, 2011	Version 1 posted for public comment. Comments should be sent to gruber1@hdfgroup.org
August 22, 2011	Minor tweaks after comments from Quincey.
September 6, 2012	Minor update for H5Pget_mpio_no_collective_cause section. (Property name changes, local cause change.)
November 6, 2012	Update according to the removing of the broken 'multi-chunk IO without opt' feature.
January 9, 2013	Update for refracting framework and add an improved optimization mode 'H5FD_MPI0_COLL_CHUNK_ATONCE_I0' based on the 'H5FD_MPI0_CHUNK_MULTI_I0' mode. Also added 'H5FD_MPI0_ALL_CHUNK_IND_I0' mode as opposite of 'H5FD_MPI0_CHUNK_ONE_I0'. The update is from HDFFV-8244 task.
February 12,2013	Some updates after comments from Quincey. (HDFFV-8244)